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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/614,579	07/07/2003	Jerry Salvatore Trainello	JERRY TRAINELLO	7623

7590 09/20/2005  
JERRY S TRAINELLO  
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EXAMINER

PASSANITI, SEBASTIANO

ART UNIT PAPER NUMBER

3711

DATE MAILED: 09/20/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/614,579

Applicant(s)

TRAINELLO, JERRY SALVATORE

Examiner

Sebastiano Passaniti

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on see detailed Office action.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 11-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 11-14 and 17-20 is/are rejected.
- 7) ☒ Claim(s) 15 and 16 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- ☒ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: \_\_\_\_\_.

### DETAILED ACTION

This Office action is responsive to communication received 07/05/2005 – Clams, Abstract, Specification, Drawings and Letter.

Claims 1-10 have been canceled, as directed.

Claims 11-20 remain pending.

Following is an action on the MERITS:

#### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 11-13 and 17-20 are rejected under 35 U.S.C. 102(e) as being anticipated by Zarganis. Note that Zarganis includes a priority date of January 03, 2003 based upon an earlier provisional application, 60/437,772. As to claim 11, note centrally located shaft 920) and putter head (10). Note pivotal means (30) enabling the putter head to rotate during an off-center shot. As to claim 12, note alignment markings (80). As to claim 13, alignment indicators (80) are indeed in the form of a line. As to claim 17, the putter head can indeed rotate around the shaft. As to claim 18, if the putter head is held in a person's hands, the rotational device (30) will clearly enable the shaft to move with respect to the head. As to claims 19 and 20, note paragraphs [0026] – [0030],

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wherein Zarganis details that the putter head may rotate with respect to the shaft and that the alignment markings (80) are to help a player determine if a ball has been struck toward the intended target.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claim 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over Zarganis in view of Santusuosso, Solheim, Richilano and McClure. To have modified the device in the cited prior art to Zarganis so that the central shaft member includes a tapered piece in order to provide a finished appearance and to serve as part of the connecting point between the shaft and the head would have been obvious in view of the patents to Santusuosso, McClure, Richilano and Solheim, all of which show it to be old in the art to include a hosel in the form of a rounded or tapered piece at the point where the shaft meets the head. See element (42) in Santusuosso, tapering projection (12) in McClure and hosel (4) in Solheim. Observe hosel (13) in Richilano.

***Allowable Subject Matter***

Claims 15 and 16 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims and to further detail that the

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inclusion of the viewable indicia along with the rotation of the head enables a golfer to see where on the putter head the ball has been struck. A sample claim follows:

Claim 21: A putter comprising:

a putter head body having a front face, a rear face, a topside and a bottom and further including a shaft-receiving bore located substantially at the center of the topside of the putter head body and extending into the putter head body;

a shaft substantially centrally attached to the topside of the putter head; said shaft extending into the shaft-receiving bore within the putter head body;

pivotal means for joining said putter head body and said shaft such that the putter head body may rotate with respect to the shaft while the shaft is held substantially firmly in a golfer's hands during striking of a golf ball with the front face of the putter head body;

a tapered piece located on said shaft and adjacent to said top surface of said putter head body ; said tapered piece including attaching means for attaching said tapered piece to said shaft; said tapered piece further including viewable indicia thereon;

whereby with the inclusion of said viewable indicia the amount of putter head body rotation that occurs when a golf ball is struck off-center enables a golfer to identify where the golf ball has struck the front face of the putter head body.

***Response to Amendment***

The amendment to the claims filed on 07/05/2005 does not comply with the requirements of 37 CFR 1.121(c) **because the change to the abstract has not been shown with underlining and bracketing**. Amendments filed on or after July 30, 2003 must comply with 37 CFR 1.121. (ii) For further explanation of the amendment format required by §1.121, see MPEP Section 714 and the USPTO website at the following address: [http://www.uspto.gov/web/offices/pac/mpep/documents/appxr\\_1\\_121.htm](http://www.uspto.gov/web/offices/pac/mpep/documents/appxr_1_121.htm)

***Response to Arguments***

Applicant's arguments with respect to claims 11-20 have been considered but are moot in view of the new ground(s) of rejection.

***Conclusion***

This action is a **FINAL REJECTION** and is intended to close the prosecution of this application. Applicant's reply under 37 CFR 1.113 to this action is limited either to an appeal to the Board of Patent Appeals and Interferences or to an amendment complying with the requirements set forth below.

If applicant should desire to appeal any rejection made by the examiner, a Notice of Appeal must be filed within the period for reply identifying the rejected claim or claims appealed. The Notice of Appeal must be accompanied by the required appeal fee of \$250.00.

If applicant should desire to file an amendment, entry of a proposed amendment after final rejection cannot be made as a matter of right unless it merely cancels claims or complies with a formal requirement made earlier. Amendments touching the merits

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of the application which otherwise might not be proper may be admitted upon a showing a good and sufficient reasons why they are necessary and why they were not presented earlier.

A reply under 37 CFR 1.113 to a final rejection must include the appeal from, or cancellation of, each rejected claim. The filing of an amendment after final rejection, whether or not it is entered, does not stop the running of the statutory period for reply to the final rejection unless the examiner holds the claims to be in condition for allowance. Accordingly, if a Notice of Appeal has not been filed properly within the period for reply, or any extension of this period obtained under either 37 CFR 1.136(a) or (b), the application will become abandoned.

The following is a format, which may be used to transmit a letter to ratify a revision of the specification/claims/drawings:

I hereby ratify that the revision to the specification, claims, and/or drawings submitted on \_\_\_\_\_ for Serial # \_\_\_\_\_ contains no new matter.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of inventor \_\_\_\_\_

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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It would be of great assistance to the Office if all incoming papers pertaining to a filed application carried the following items:

1. Serial number (checked for accuracy).
2. Group art unit number (copied from filing receipt or most recent Office Action).
3. Filing date.
4. Name of the examiner who prepared the most recent Office action.
5. Title of invention.

The following are suggested formats for either a Certificate of Mailing or Certificate of Transmission under 37 CFR 1.8(a). The certification may be included with all correspondence concerning this application or proceeding to establish a date of mailing or transmission under 37 CFR 1.8(a). Proper use of this procedure will result in such communication being considered as timely if the established date is within the required period for reply. The Certificate should be signed by the individual actually depositing or transmitting the correspondence or by an individual who, upon information and belief, expects the correspondence to be mailed or transmitted in the normal course of business by another no later than the date indicated.

### Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

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Please refer to 37 CFR 1.6(d) and 1.8(a)(2) for filing limitations concerning facsimile transmissions and mailing, respectively.


The applicant is strongly encouraged to visit the official website for the USPTO at ([www.uspto.gov](http://www.uspto.gov)) for access to additional information and services available to individual inventors.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sebastiano Passaniti whose telephone number is 571-272-4413. The examiner can normally be reached on Monday through Friday (6:30AM - 3:00PM).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Greg Vidovich can be reached on 571-272-4415. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
**Sebastiano Passaniti**  
Primary Examiner

S.Passaniti/sp  
September 16, 2005